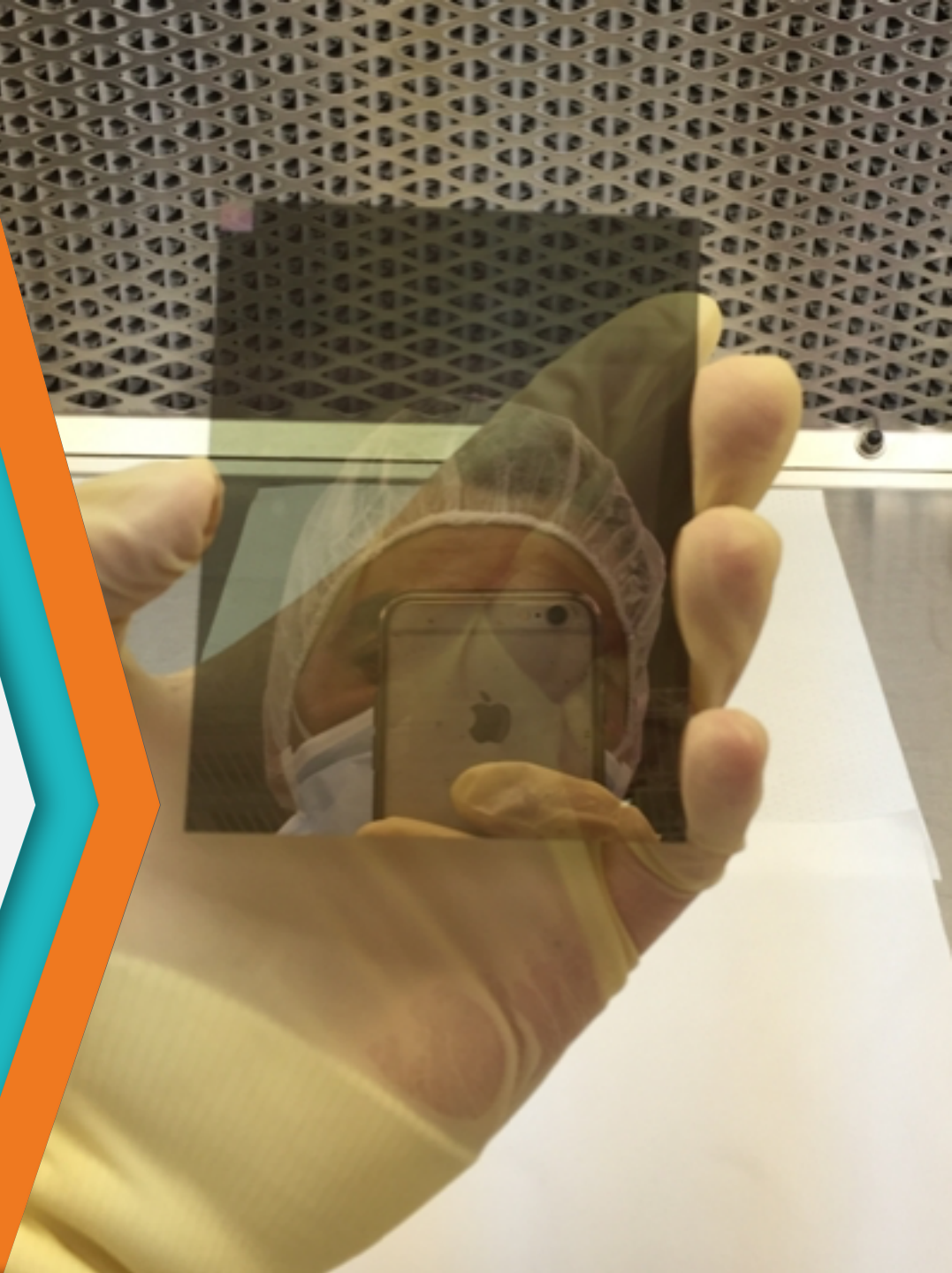


A reflection grating spectrometer for X-ray Surveyor

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Pennsylvania State University



Talk outline

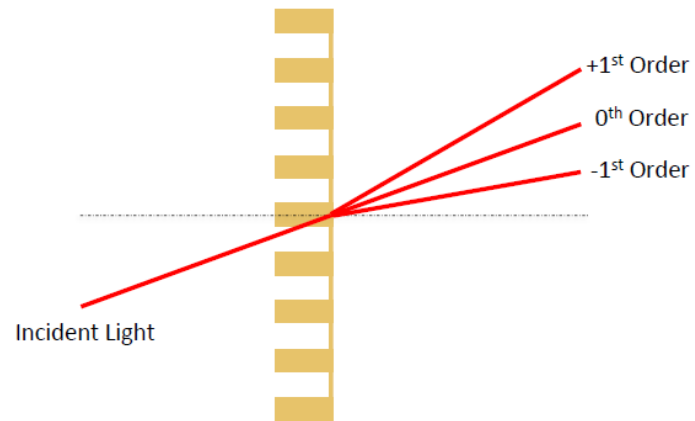
- X-ray grating background
- State of reflection grating technologies
- Development roadmap
- Trade studies/ next steps/ next level

X-ray Surveyor context

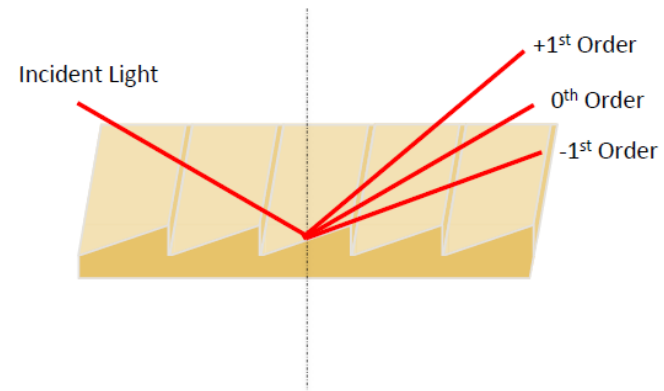
- 10 m focal length, 0.5'' telescope HPD
 - 24 μm at focal plane
 - Readout requires $<12 \mu\text{m}$ resolution elements
- $R = 5000$ (0.2-1.2 keV; mirror has good response up to 2 keV)
 - Grating dispersion gives 4 mÅ spectral lines
 - $R = 31,000$ at 200 eV (62 Å, 2nd order)
 - $R = 28,000$ at 1.2 keV
 - $R = 29,450$ at 2.0 keV
 - No need to subaperture
- 4000 cm² with 50% optics coverage (retractable arrays)
 - $\sim 2 \text{ m}^2$ total leaves 1 m² for the grating array
 - Requires 40% efficiency from gratings + detectors
 - Changes to 32% if mirror $A_{\text{eff}} = 2.5 \text{ m}^2$

Diffraction gratings

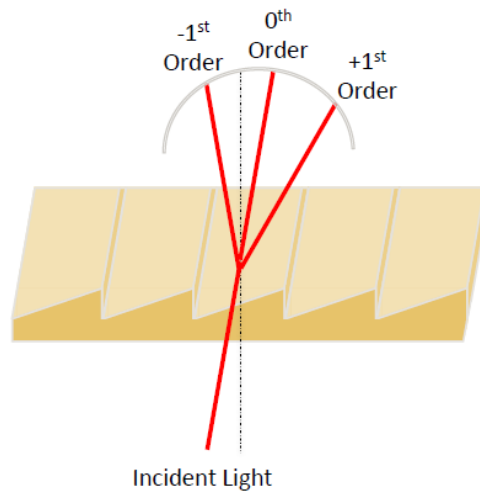
Transmission Grating



In-plane Reflection Grating

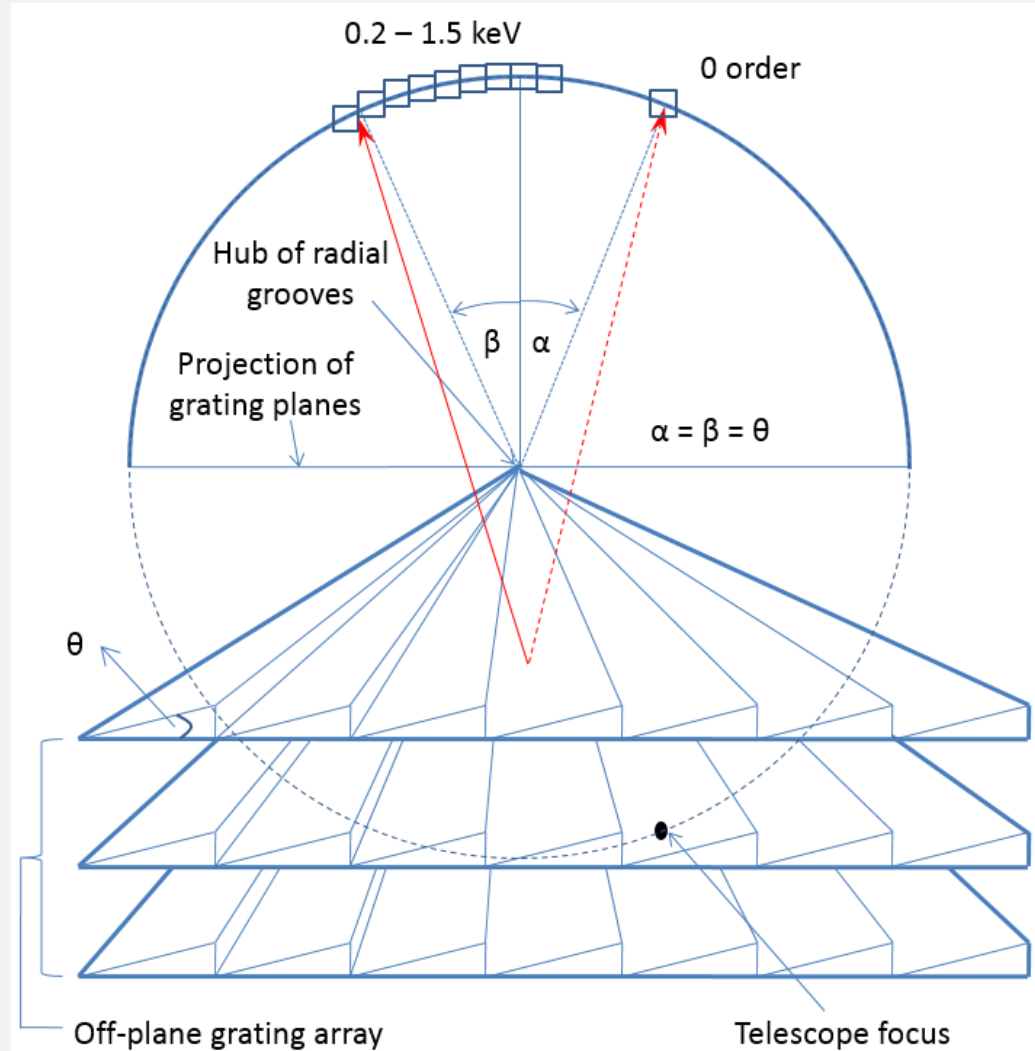
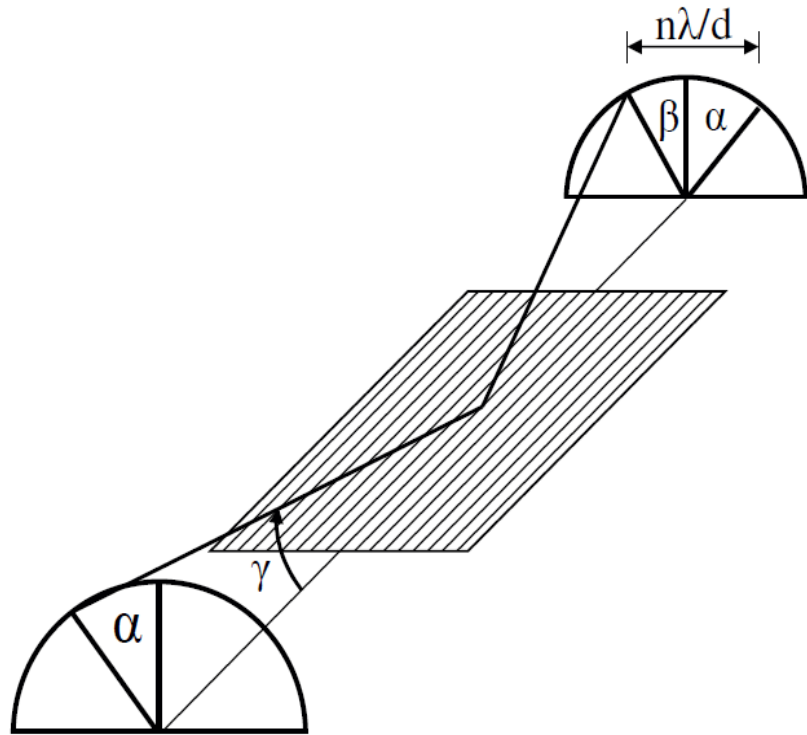


Off-plane Reflection Grating



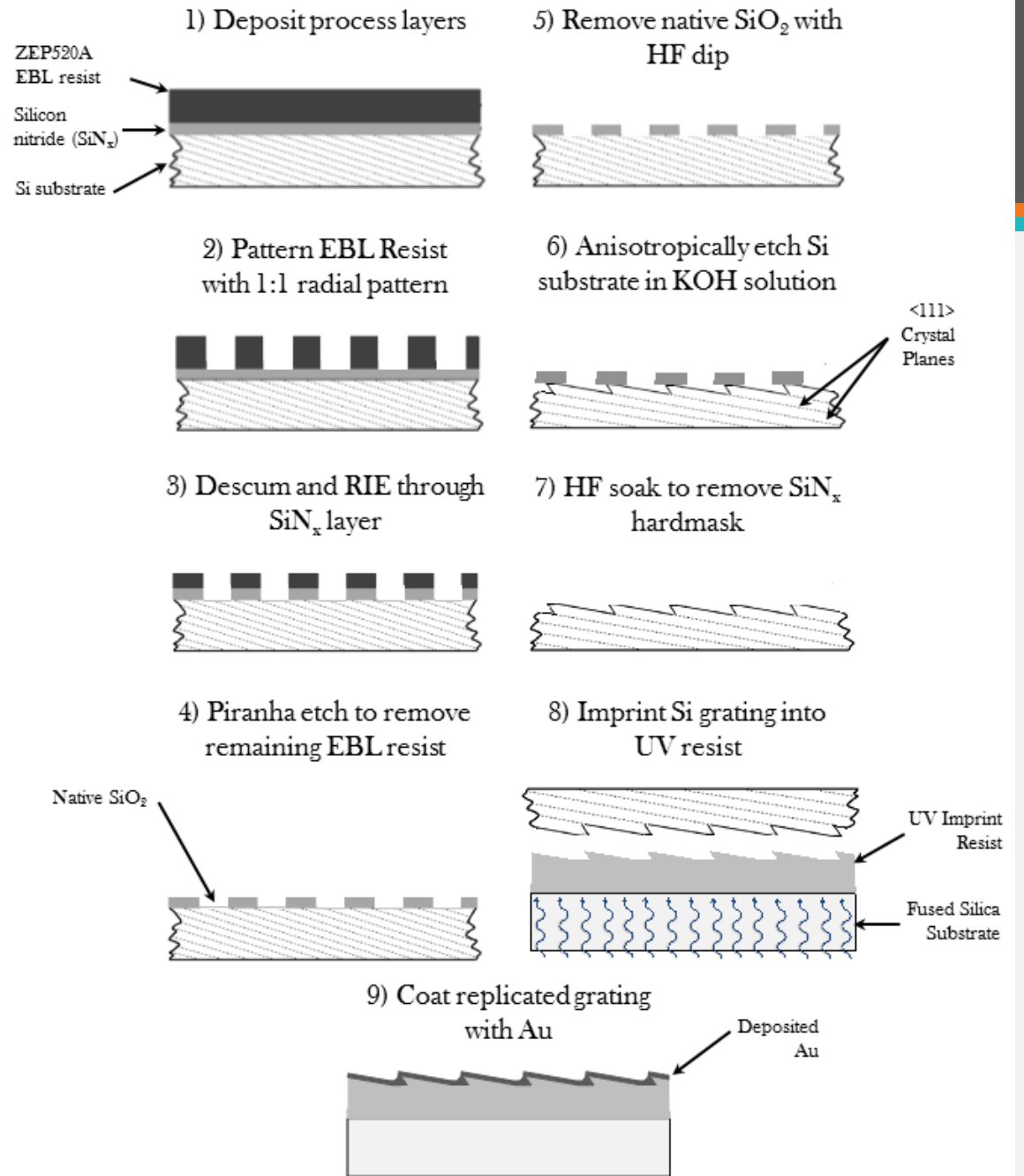
Off-plane diffraction gratings

$$\sin(\alpha) + \sin(\beta) = \frac{n\lambda}{d \sin(\gamma)}$$

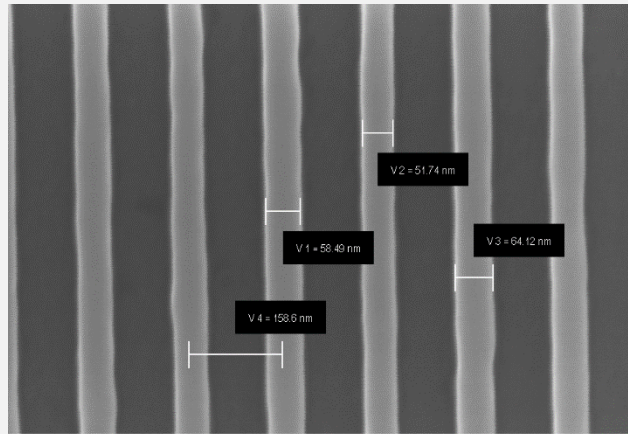


Fabrication

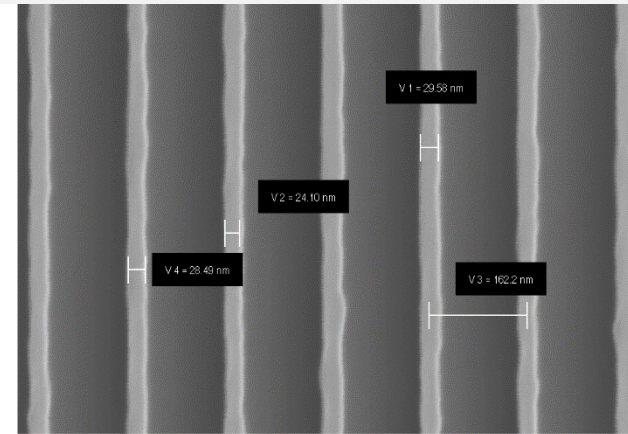
- Utilizes common nanofab tech
- Developed since Con-X
- Recent advancements due to e-beam lithography



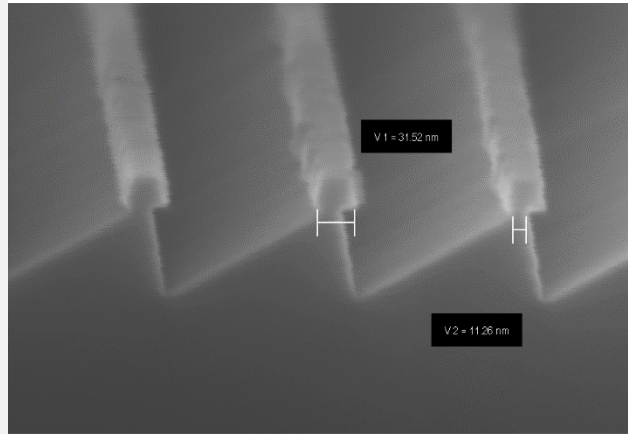
Step 2



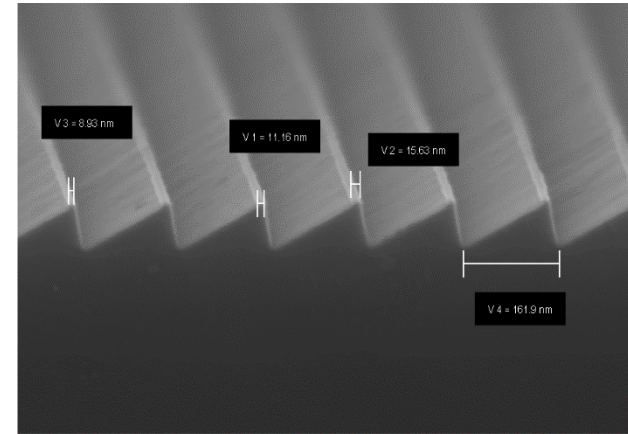
Step 6



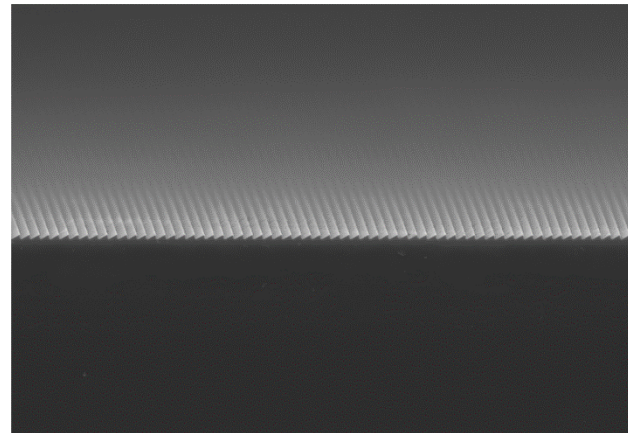
Step 6



Step 7



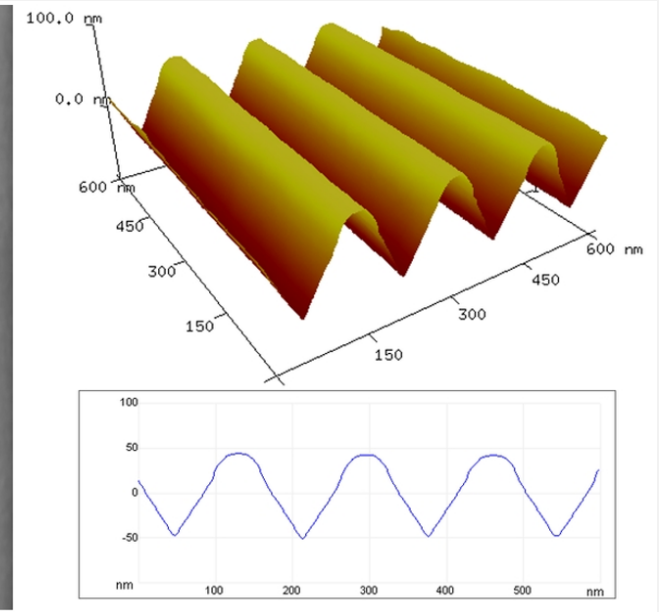
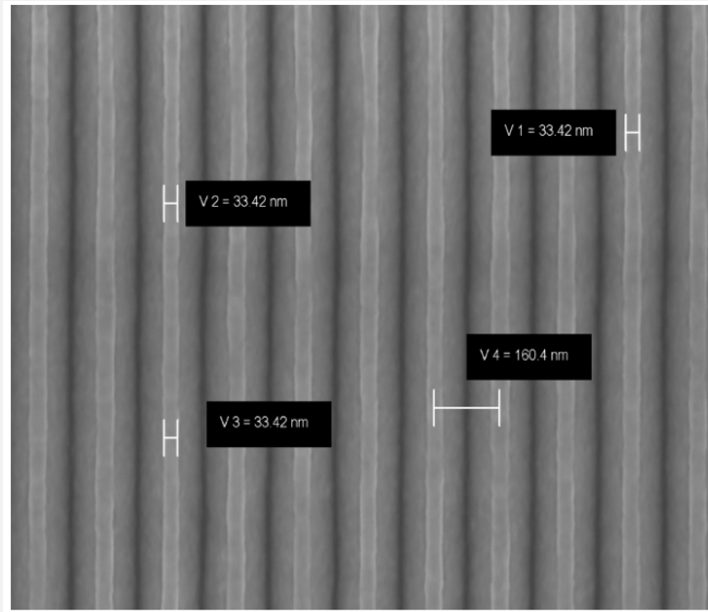
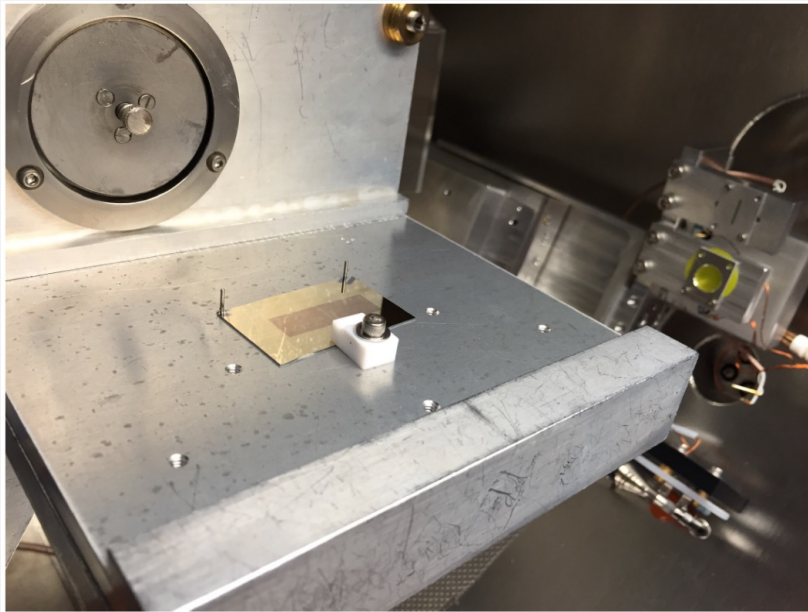
Fabrication results
29.5° blazed grating



Images courtesy of
Dmitriy Voronov, LBNL

Diffraction efficiency testing of blazed grating

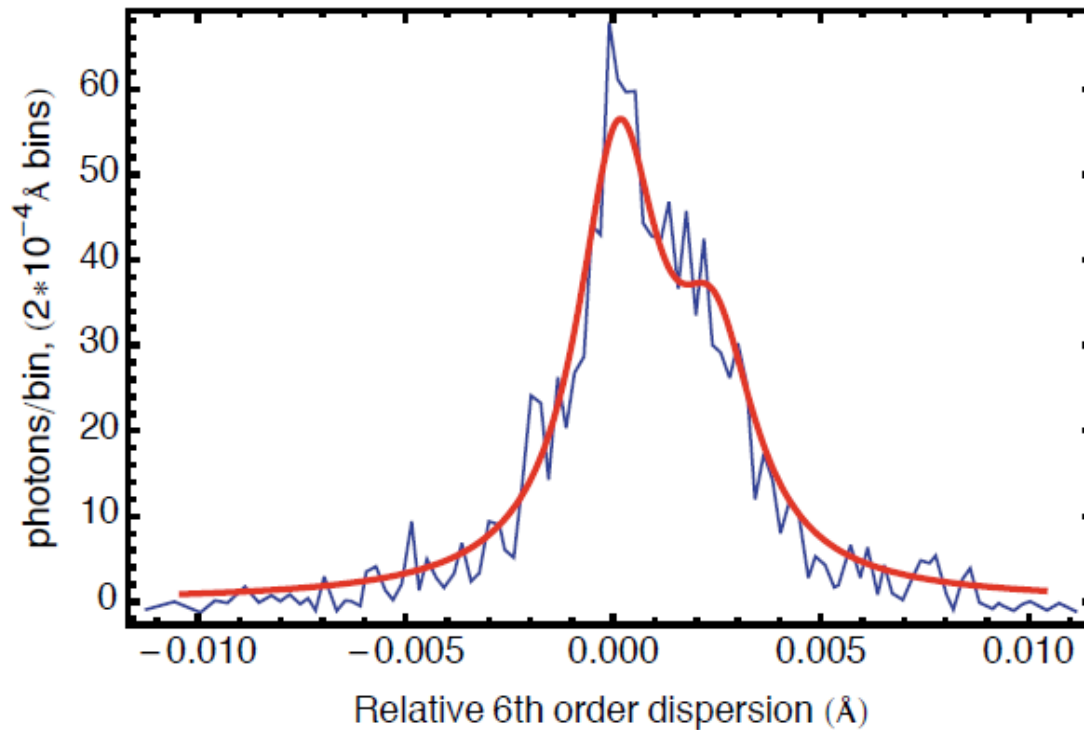
- Synchrotron tested at LBNL Advanced Light Source (Eric Gullikson)
- Fabricated in collaboration with Howard Padmore's group, specifically Dmitriy Voronov



- Variable line spacing - 160 nm to 159.75 nm
- Blazed profile - 54.7°
- 10 x 30 mm on silicon
- Coated - 5 nm Cr/30 nm Au

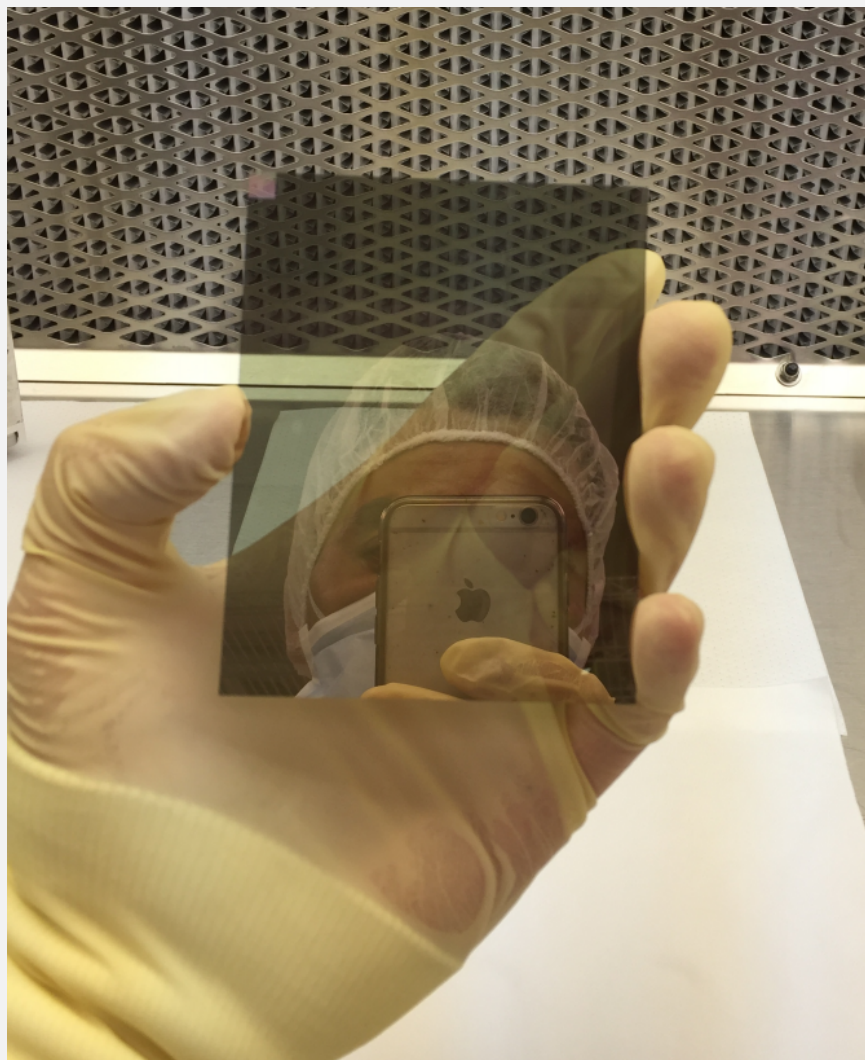
Previous resolving power results

2 Degree Graze – 6th Order Response
compared with expected
Lorenzian response (red)



- Tested at MSFC SLF
- Using slumped glass optics from GSFC
- Preliminary analysis
 - 6th order Al K_{α1}, K_{α2}
 - LSF same as 0 order
 - Aberration free
 - **R ~ 3250**
 - 3460 = natural line width limited (2.4 mÅ)
- Tested on small, laminar profile, variable line spaced grating

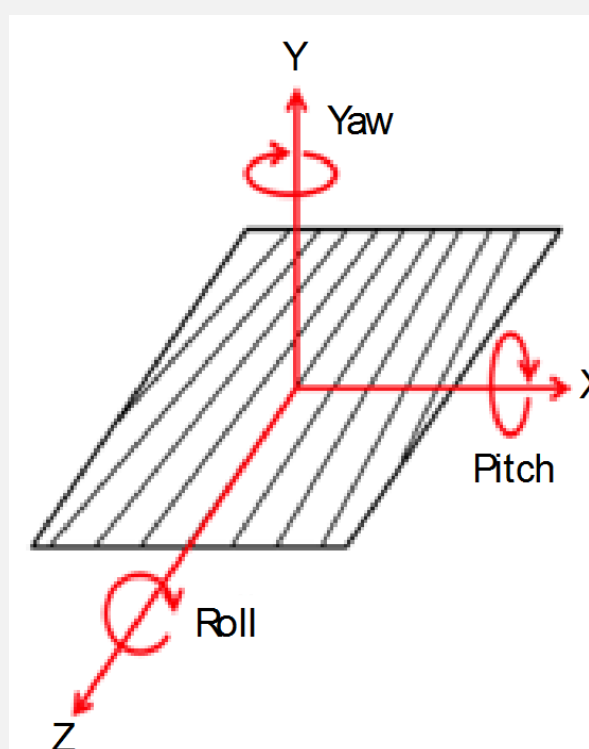
The first *complete* next-gen off-plane grating



- Large format
 - 75 x 96 x 0.5 mm
- Variable line spacing
 - 7x 0.25 nm steps for 8x periods: 158.25 – 160 nm
 - Matches 8.4 m optic
- Blazed
 - 54.7°
- Replicated onto fused silica
 - ~1 μm peak-to-valley flatness over piece
- Coated with 5 nm Cr/15 nm Au
- Final fabrication product – flight component

Grating alignments

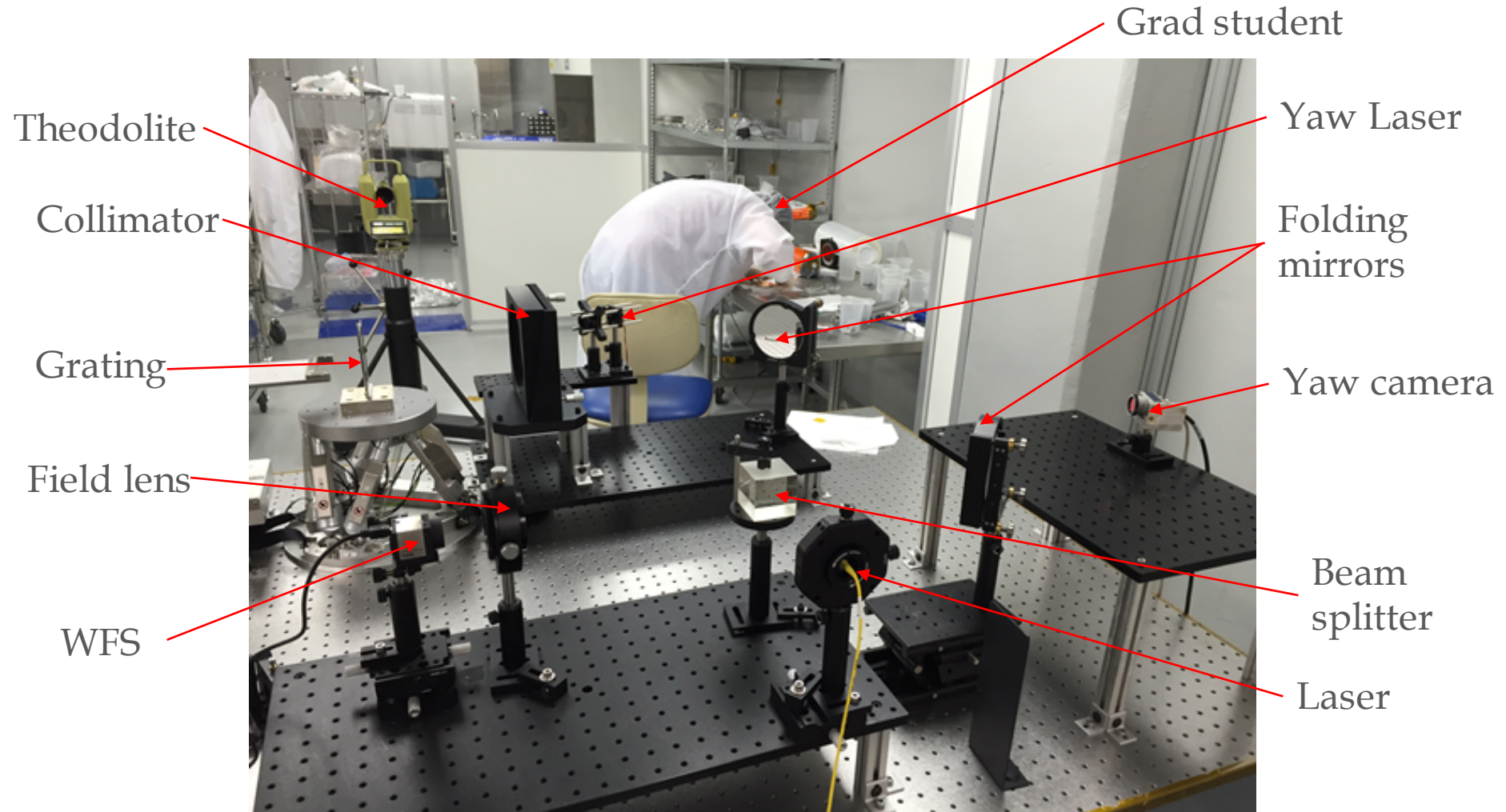
- The spectrum from each grating must overlap at the focal plane
- This must be done for 100s – 1000s of gratings



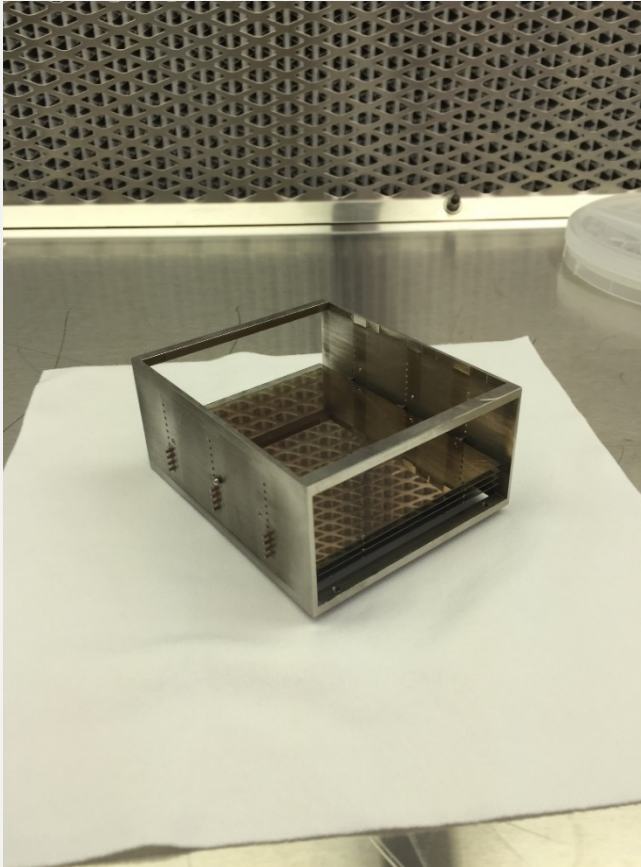
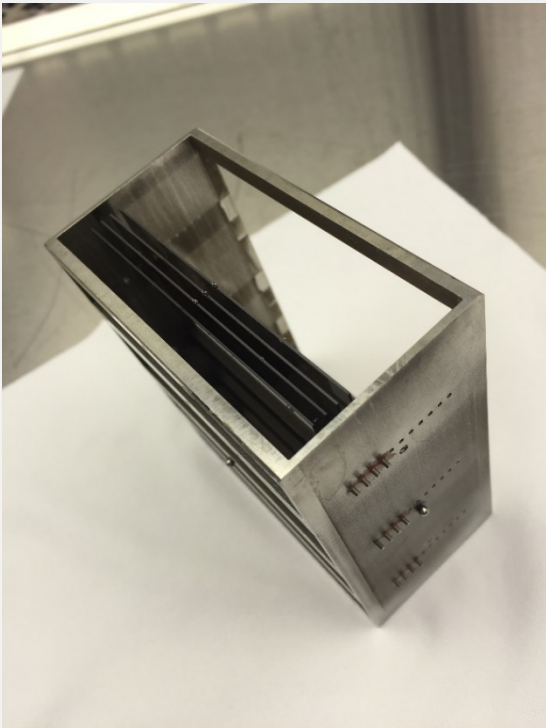
The diagram shows a 3D perspective of a grating, represented by a parallelogram with diagonal lines. A red coordinate system is centered at the origin of the grating. The Y-axis is vertical, the X-axis is horizontal to the right, and the Z-axis is diagonal pointing down and to the left. Three red circular arrows indicate alignment degrees of freedom: 'Yaw' around the Y-axis, 'Pitch' around the X-axis, and 'Roll' around the Z-axis.

	Representative alignment numbers taken from <i>Arcus</i>	Grating Alignment Requirements (FWHM)
X		0.6 mm
Y		0.4 mm
Z		0.2 mm
Pitch (X)		15 arcsec
Yaw (Y)		24 arcsec
Roll (Z)		5 arcsec

Current alignment studies



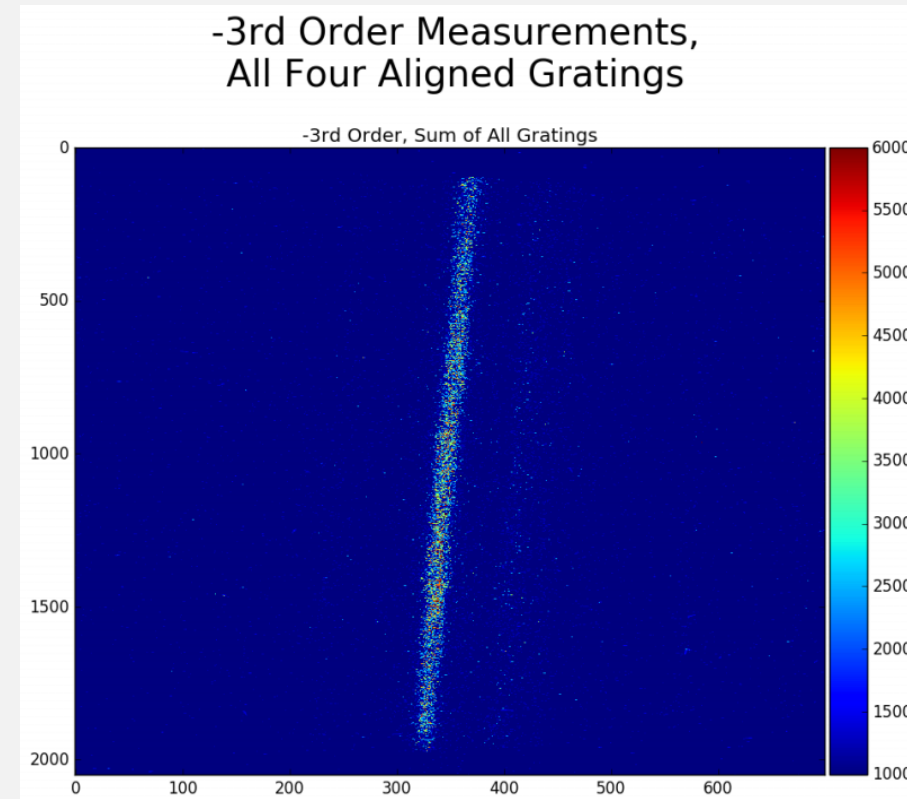
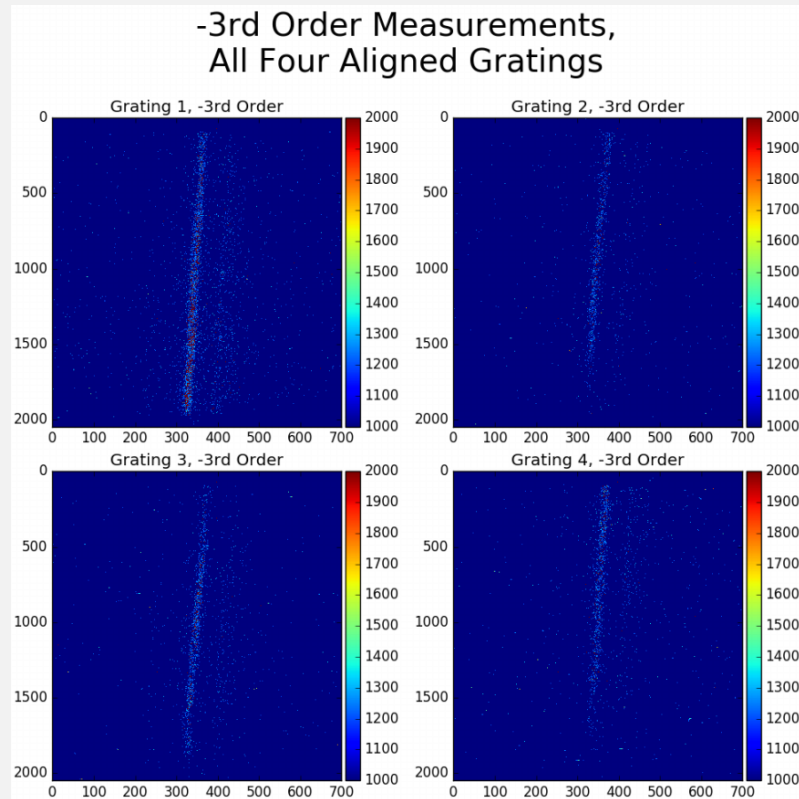
Aligned grating modules



- 4x full format gratings aligned $\lesssim \pm 10''$ in rotational DOFs, $\lesssim \pm 0.2$ mm in translational DOFs
- Needs upgraded metrology and environmental control
 - Solutions exist

X-ray alignment testing, pre-shake

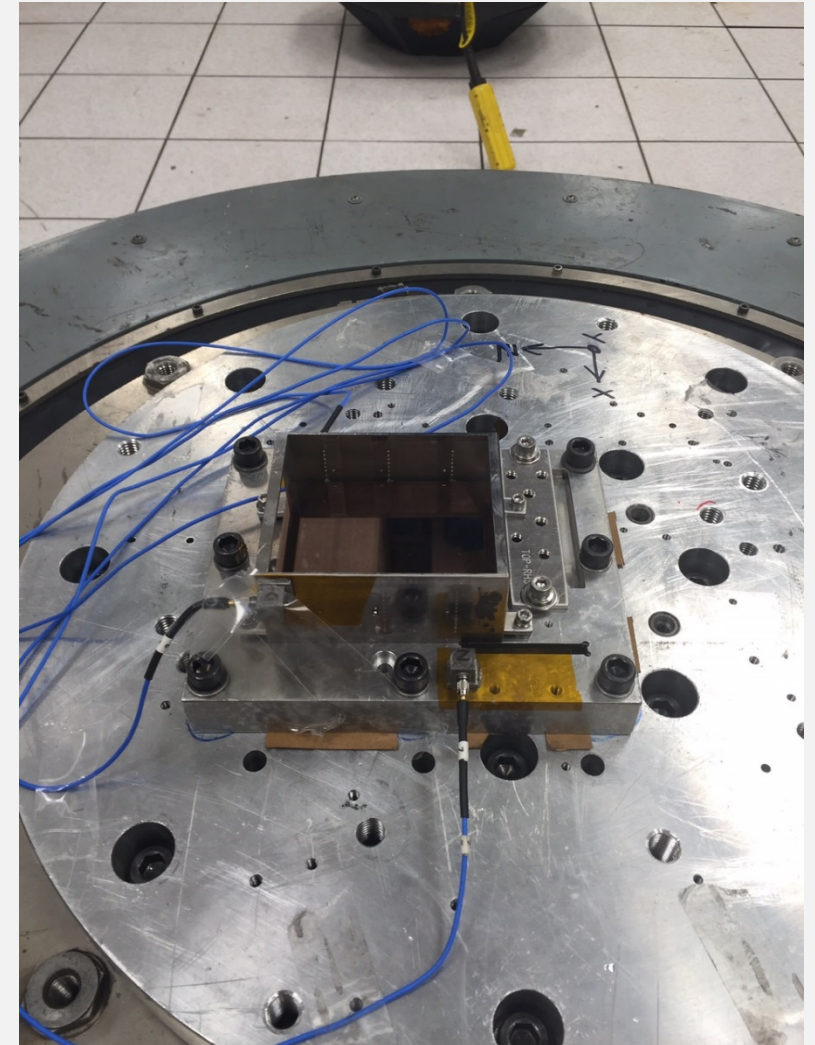
- The team is currently at MSFC testing
- Limitation – single optic requires actuation of grating stack



- PANTER
 - X-ray testing with SPO scheduled for September

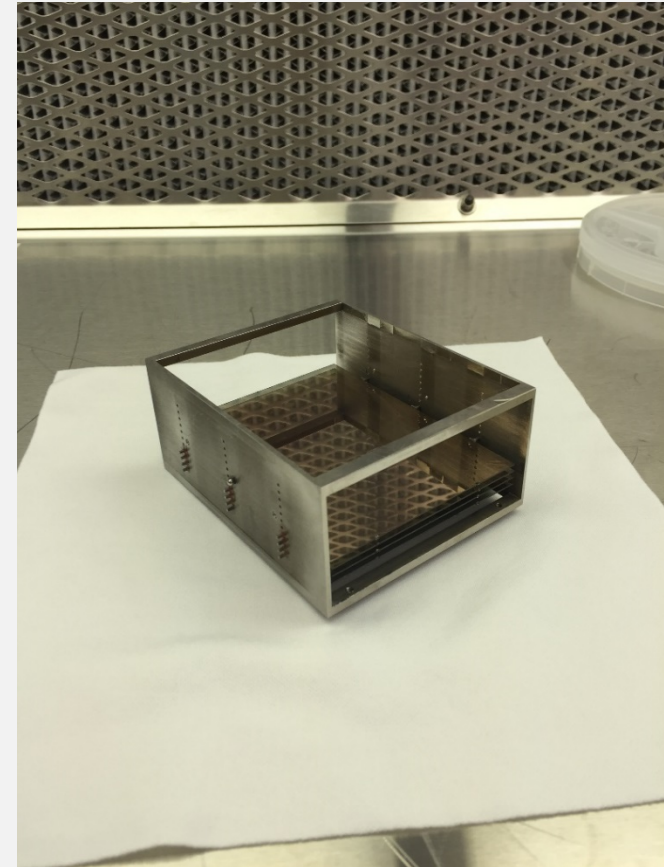
Vibration testing of aligned module

- Vibration tested yesterday using NASA's General Environmental Verification Standard
- Qualification
 - $\frac{1}{4}$ G sine sweep
 - 14.1 G RMS: Steps = [3, 5, 7.1, 10, 14.1] - 2 dB per step, hold each step 20 sec, hold 14.1 G for 60 seconds
- Aligned module passed qualification in likely worst case scenario
- Post-vibe X-ray testing this weekend



Development Roadmap

- Near-term development driven by current projects
 - SAT ending this year, RTF ending next year
 - OGRE suborbital rocket launch in 2018
 - *Arcus* instrument studies
- Summary
 - Large format, flight-like gratings have been fabricated, aligned, and tested
- Ongoing diffraction efficiency testing
 - Full format imprint undergoing testing at BESSY PTB
 - Plan to test imprint at ALS (post move)
- Resolving power tests currently ongoing at MSFC
 - Full format, blazed, full illumination test
- Various areas should be improved/studied
 - Imprint process, stress allocations, surface metrology, alignment metrology and control



Possible studies/Trade space in XRS context

- *Trade space exists in **resolving power**, not in **effective area***
- **Proper formats**
 - Larger gratings, larger modules = easier to align (fewer elements, potentially thicker substrates)
- **Substrate materials**
 - Flat silicon
 - Direct write
- **Coating materials**
 - Low stress/high reflectivity
- **Groove density**
 - Large trade space that effects focal plane size, and thus, bandpass
- **Variable line spacing limit and effect on spectral resolving power**
 - Are 0.1 nm steps necessary/sufficient
- **Tunable blaze angle**
 - Higher blaze = higher dispersion = more resolving power, but potential focal plane effects
- **Profile roughness**
 - Understand roughness evolution from etch to imprint to coating

Acknowledgements

- Collaborators
 - SAO – Randall Smith, Ryan Allured, Casey DeRoo, Peter Cheimets, Ed Hertz
 - LBNL – Dmitriy Voronov, Howard Padmore, Eric Gullikson
 - GSFC – Will Zhang, Ryan McClelland, Kai-Wing Chan
 - MSFC – Jessica Gaskin, Jeff Kolodziejczak, Steve O’Dell
 - MIT – Mark Schattenburg, Ralf Heilmann, Mark Bautz
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 - Disco – Joseph Ferrero, Chris Mihai
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